PART B - FEE(S) TRANSMITTAL

Coraplete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000

or Fax STRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where the problem of further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as notificated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for problems of the problems o maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

000959

7590

02/18/2004

LAHIVE & COCKFIELD, LLP. 28 STATE STREET **BOSTON, MA 02109** 

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name) (Date)

| APPLICATION NO.       | FILING DATE        | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.                    | CONFIRMATION NO. |
|-----------------------|--------------------|----------------------|--|------------------|
| 09/895,812            | 06/29/2001         | Mark L. Nelson       | XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX | 1583             |
| TITLE OF INVENTION: 7 | SUBSTITUTED TETRAC | PAZ-080CP            |  |                  |

| ; [ | APPLN. TYPE      | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|-----|------------------|--------------|-----------|-----------------|------------------|------------|
|     | nonprovisional   | NO           | \$1330    | \$300           | \$1630           | 05/18/2004 |
| • \ | EXAMINER         |              | ART UNIT  | CLASS-SUBCLASS  |                  |            |
| _   | SHIAO, REI TSANG |              | 1626      | 514-152000      | _                |            |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

 $\hfill \Box$  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Lahive & Cockfield, LLP

<u> Elizabeth A. Hanley, Esq.</u>

☐ government

3Cynthia M. Soroos, Esq.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Paratek Pharmaceuticals, Inc. Trustees of Tufts College

Boston, Massachusetts Medford, Massachusetts

Please check the appropriate assignee category or categories (will not be printed on the patent);

4a. The following fec(s) are enclosed:

4b. Payment of Fce(s):

Issue Fee Publication Fee

Advance Order - # of Copies 10

☐ A check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 12-0080 (enclose an extra copy of this form).

Director ee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signa

(Date) May 17, 2004

Reg <u>Soroos</u>, No. .623

NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignce or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

05/20/2004 AWONDAF2 00000003 120080 09895812

01 FC:1501 1330.00 DA 02 FC:1504 300.00 DA

03 FC:8001 30.00 DA

PTO/SB/17 (10-03)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
ADSMAND der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless if the paper of **FEE TRANSMITTAL** 

for FY 2004 Effective 10/01/2003, Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT 1,660.00

| Complete if Known    |                       |  |  |  |  |
|----------------------|-----------------------|--|--|--|--|
| Application Number   | 09/895812-Conf. #1583 |  |  |  |  |
| Filing Date          | June 29, 2001         |  |  |  |  |
| First Named Inventor | Mark L. NELSON        |  |  |  |  |
| Examiner Name        | R. T. Shiao           |  |  |  |  |
| Art Unit             | 1626                  |  |  |  |  |
| Attorney Docket No.  | PAZ-080CP             |  |  |  |  |

| METHOD OF PAY                                    |  |         |          | FEE       | CALCULATION (continued) |   |               |
|--|--|---------|----------|-----------|-------------------------|---|---------------|
| Check Credit Money Other None 3. ADDITIONAL FEES |  |         |          |           |                         |   |               |
| Card Corder C                                    |  |         |          |           |                         |   |               |
| X Deposit Account:  Large Entity Small Entity    |  |         |          |           |                         |   |               |
| Deposit<br>Account                               | 12-0080  | Fee     | Fee      | Fee       | Fee                     | -   |               |
| Number   | 12-0080  | Code    | (\$)     | Code      | (\$)                    | Fee Description   | Fee Paid      |
| Deposit  |  |         | 130      | 2051      | 65                      | Surcharge – late filing fee or oath   |               |
| Account Lahive &                                 | Cockfield, LLP   | 1051    |          |           |                         | Surcharge - late provisional filing fee or cover  | <b>  </b>     |
| The Director is authorized to                    | : (check all that apply)   | 1052    | 50       | 2052      | 25                      | sheet.  |               |
| X Charge fee(s) indicated be                     | low X Credit any overpayments  | 1053    | 130      | 1053      | 130                     | Non-English specification   |               |
| Charge any additional feet                       | s) or any underpayment of fee(s)                                     | 1812    | 2,520    | 1812      | 2 520                   | For filing a request for ex parte reexamination   | $\vdash$      |
| X Charge any additional fee(                     | s) or any underpayment of fee(s)                                     |         |          |           |                         | Requesting publication of SIR prior to  | $\vdash$      |
| Charge fee(s) indicated be                       | low, except for the filing fee                                       | 1804    | 920*     | 1804      | 920*                    | Examiner action   |               |
| to the above-identified deposit                  | t account.   | 1805    | 1,840*   | 1805      | 1,840*                  | Requesting publication of SIR after<br>Examiner action  |               |
| FEE CA   | ALCULATION   | 1251    | 110      | 2251      | 55                      | Extension for reply within first month  |               |
| 1. BASIC FILING FEE                              |  | 1252    | 420      | 2252      | 210                     | Extension for reply within second month   |               |
| Large Entity Small Entity                        |  | 1253    | 950      | 2253      | 475                     | Extension for reply within third month  |               |
| Fee Fee Fee Fee<br>Code (\$) Code (\$)           | Fee Description Fee Paid   | 1254    | 1,480    | 2254      | 740                     | Extension for reply within fourth month   |               |
| 1001 770 2001 385                                | Utility filing fee   | 1255    | 2,010    | 2255      | 1,005                   | Extension for reply within fifth month  |               |
| 1002 340 2002 170                                | Design filing fee  | 1401    | 330      | 2401      | 165                     | Notice of Appeal  |               |
| 1003 530 2003 265                                | Plant filing fee   | 1402    | 330      | 2402      | 165                     | Filing a brief in support of an appeal  | $\Box$        |
| 1004 770 2004 385                                | Reissue filing fee   | 1403    | 290      | 2403      | 145                     | Request for oral hearing  |               |
| 1005 160 2005 80                                 | Provisional filing fee   | 1451    | 1,510    | 1451      | 1,510                   | Petition to institute a public use proceeding   |               |
| SUBTOTAL (1) (\$) 0.00                           |  | 1452    | 110      | 2452      | 55                      | Petition to revive – unavoidable  |               |
| SUBTOTAL (1) (\$) 0.00                           |  |         | 1,330    | 2453      | 665                     | Petition to revive - unintentional  |               |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE      |  | 1501    | 1,330    | 2501      | 665                     | Utility issue fee (or reissue)  | 1,330.00      |
|  | Extra Fee from<br>Claims below Fee Paid                              | 1502    | 480      | 2502      | 240                     | Design issue fee  |               |
| Total Claims 195 -195** =                        | x = 0.00   | 1503    | 640      | 2503      | 320                     | Plant issue fee   |               |
| Independent 10 -10** =                           | x = 0.00   | 1460    | 130      | 1460      | 130                     | Petitions to the Commissioner   |               |
| Claims J   |  | 1807    | 50       | 1807      | 50                      | Processing fee under 37 CFR 1.17(q)   |               |
| Large Entity   Small Entity                      |  | 1806    | 180      | 1806      | 180                     | Submission of Information Disclosure Stmt   |               |
| Fee Fee Fee Fee                                  | Fee Description  | 8021    | 40       | 8021      | 40                      | Recording each patent assignment per  | $\vdash$      |
| Code (\$) Code (\$)                              |  | 8021    | 40       | 8021      | 40                      | property (times number of properties)   |               |
|  | laims in excess of 20  | 1809    | 770      | 2809      | 385                     | Filing a submission after final rejection (37 CFR 1.129(a))                                   |               |
|  | dependent claims in excess of 3 ultiple dependent claim, if not paid | 1810    | 770      | 2810      | 385                     | For each additional invention to be   |               |
|  | Reissue independent claims   | 1801    | 770      | 2801      | 385                     | examined (37CFR 1.129(b)) Request for Continued Examination (RCE)                             |               |
| 00   40  | over original patent   | 1802    | 900      |           | 900                     | Request for expedited examination   | <del>  </del> |
| 1205 18 2205 9 **                                | Reissue claims in excess of 20                                       | 1802    | 900      | 1802      | 900                     | of a design application   | $\vdash$      |
|  | and over original patent   | Other f | ee (spe  | cify)     | 8001;<br>1504           | Printed copy of patent w/o color; Publication fee for early, voluntary, or normal publication | 330.00        |
| SUBT   | OTAL (2) (\$) 0.00   | *Redu   | ced by E | Basic Fil | ling Fee                | Paid SUBTOTAL (3) (\$)  | 1,660.00      |
|  | f greater, For Reissues, see above                                   |         |          |           |                         |   |               |
|  |  |         |          |           |                         |   |               |

| SUBMITTED BY              | <u> </u>  | 1                                 |        | (Complete | (if applicable)) |
|---------------------------|-----------|-----------------------------------|--------|-----------|------------------|
| Name (Print/Type) Cynthia | M. SOPPS- | Registration No. (Attorney/Agent) | 53,623 | Telephone | (617) 227-7400   |
| Signature                 | MUNUA /   | $\mathcal{M}$                     |        | Date      | May 17, 2004     |
|                           | , ,       | 7                                 |        | _         |                  |

| hereby certify that this correspondence is being           | p <b>∂</b> eposited with the U | .S. Postal Service as Exp        | ress Mail, Airbill No. EV 377 651 641 |
|--|--------------------------------|----------------------------------|---------------------------------------|
| JS, in an envelope addressed to: MS Issue Fee              | Commissioner for P             | atents, P.O. Box 145 <u>0,</u> A | lexandria, VA 22313-1450, on the date |
| US, in an envelope addressed to: MS Issue Fee shown below. | 1. 11/11/11                    | 10                               |                                       |

Dated: May 17, 2004

(Cynthia M. Soroos)

PTO/SB/21 (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
U.S. Department of Commerce of

3

## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

| Application Number     | 09/895812-Conf. #1583 |
|------------------------|-----------------------|
| Filing Date            | June 29, 2001         |
| First Named Inventor   | Mark L. NELSON        |
| Art Unit               | 1626                  |
| Examiner Name          | R. T. Shiao           |
| Attorney Docket Number | PAZ-080CP             |

|   | ENCLOSUR  | ES (Check all that app                            | oly)  |  |  |  |
|---|---|---|---|--|--|--|
| X Fee Transmittal Form                    | Drawin  | g(s)  | After Allowance Communication to Group                            |  |  |  |
| Fee Attached                              | Fee Attached Licensing-related Papers                 |   | Appeal Communication to Board of Appeals and Interferences        |  |  |  |
| Amendment/Reply                           | Petition  |   | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |  |  |  |
| After Final                               |   | to Convert to a<br>onal Application               | Proprietary Information   |  |  |  |
| Affidavits/declarati                      |   | of Attorney, Revocation of Correspondence Address | Status Letter   |  |  |  |
| Extension of Time Requ                    | est Termin  | al Disclaimer                                     | X Other Enclosure(s) (please identify below):                     |  |  |  |
| Express Abandonment F                     | Request Reque   | st for Refund                                     | PTOL-85 (1 page)<br>Certificate of Express Mailing (1             |  |  |  |
| Information Disclosure S                  | tatement CD, Nu                                       | mber of CD(s)                                     | page); Postcard   |  |  |  |
| Certified Copy of Priority<br>Document(s) |   |   |   |  |  |  |
| Response to Missing Pa                    | rts/ Remarks  |   |   |  |  |  |
| Response to Missi<br>under 37 CFR 1.52    |   |   |   |  |  |  |
| La dilder 57 Of IC 1.52                   | 011.00  |   |   |  |  |  |
|   |   |   |   |  |  |  |
|   | SIGNATURE OF APP                                      | PLICANT, ATTORNEY, OR                             | AGENT   |  |  |  |
|   | LAHIVE & COCKFIELD, LLP<br>Cynthia M. Soroos - 53,623 |   |   |  |  |  |
| Signature                                 | Signature WIWW / /                                    |   |   |  |  |  |
| Date May 17, 2                            | 004   |   |   |  |  |  |

| I hereby certify that this corre | spondence is beir | ng deposited with the | e U.S. Postal Serv | ice as Express Mail, A | irbill No. EV 377 651 641 |
|----------------------------------|-------------------|-----------------------|--------------------|------------------------|---------------------------|
| US, in an envelope addresse      | d to: MS Issue Fe | ee, Commissioner#o    | r Patents, F.O. Bo | x 1450, Alexandria, VA | 22313-1450, on the date   |
| shown below.                     |                   | 1 4 WITH              | 1/1 // 1/1         |                        |                           |
| Dated: May 17, 2004              | Signatura         | (Linital              | W / PN             | Cunthia M. Saraan      | <b>A</b>                  |

Dated: May 17, 2004

pplication No. (if known): 09/895812

Attorney Docket No.: PAZ-080CP

## **Certificate of Express Mailing Under 37 CFR 1.10**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV 377 651 641 US in an envelope addressed to:

MS Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

| on | May 17, 2004 |  |
|----|--------------|--|
|    | Date         |  |

Signature

Cynthia M. Soroos

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal (1 page); PTOL-85 (1 page);

Fee Transmittal (1 page, in duplicate);

Charge \$1,660.00 to deposit account 12-0080; and

Return Receipt Postcard.